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**NEW UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
48,240Total Pages in this Submission
46**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

LIQUID CRYSTAL DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME

and invented by:

Yasuhiro Matsushima and Takashi SatoIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 40 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
 - a. ☒ Formal
 - b. ☐ Informal

Number of Sheets 6

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Application Elements (Continued)

4. ☒ Oath or Declaration
- a. ☐ Newly executed (*original or copy*) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
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Accompanying Application Parts

8. ☒ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (*Specify Label No.:* TB555345296US)
15. ☒ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

**NEW UTILITY PATENT APPLICATION TRANSMITTAL
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Accompanying Application Parts (Continued)

16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	17	- 20 =	0	x \$22.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$82.00	\$82.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$790.00
OTHER FEE (specify purpose) <u>Recordal of Assignment</u>					\$40.00
TOTAL FILING FEE					\$912.00

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Dated: 3/20/98


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CC:

BACKGROUND OF THE INVENTION

1. FIELD OF THE INVENTION:

5 The present invention relates to a liquid crystal display device which may be incorporated in audio visual (AV) apparatuses, office automation (OA) apparatuses, or the like. The present invention also relates to a method for producing the liquid crystal display device.

10 2. DESCRIPTION OF THE RELATED ART:

15 An active matrix liquid crystal display device includes a TFT substrate which includes picture element electrodes, and thin film transistors (hereinafter, simply referred to as "TFTs") connected to the picture element electrodes formed on a glass substrate in a matrix. The active matrix liquid crystal display device further includes a counter substrate on which counter electrodes are formed. The TFT substrate and the counter substrate are adhered to each other with a sealing material, with a liquid crystal material interposed therebetween.

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Figure 6 is a simplified plan view of the TFT substrate of a conventional liquid crystal display

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device. Figure 7 is a plan view of the counter substrate of the conventional liquid crystal display device.

Referring to Figure 6, the TFT substrate includes
5 a glass substrate 1 and picture element electrodes 6 arranged on the glass substrate 1 in a matrix so as to correspond to an image display region 8.

Herein, "an image display region" refers to an
10 area of a substrate corresponding to a display region of a liquid crystal display device from where a viewer can actually see a displayed image.

Each of the picture element electrodes 6 is con-
15 nected to corresponding one of a plurality of gate bus lines 2 and corresponding one of a plurality of source bus lines 4. The TFT substrate further includes a gate driver GD for supplying scanning signals to the gate bus lines 2 and a source driver SD for supplying data signals
20 to the source bus lines 4.

Referring to Figure 7, the counter substrate includes a glass substrate 20, and color filters 12 provided on the image display region 8 of the glass

substrate 20 so as to correspond to the picture element electrodes 6 on the TFT substrate. Counter electrodes (not shown) made of a transparent conductive film are formed on the color filters 12. The liquid crystal material interposed between the TFT substrate and the counter substrate is switched in accordance with a potential difference between the picture element electrodes and the corresponding counter electrodes. Light shielding layers (not shown) which act as so-called black matrix, are formed on the counter substrate for shielding light incident to the TFTs and light leaking from gaps between each picture element electrode.

Generally, a light shielding frame section 14 is provided on the counter substrate around a periphery of the image display region 8 for shielding unnecessary light incident to the periphery of the image display region 8. Since the liquid crystal display device uses back light, in the case where the light shielding frame section 14 is not provided, the unnecessary light reaches to the TFTs, thereby deteriorating the OFF characteristics of the TFTs, and thus deteriorating the display quality of the liquid crystal display device as well.

The TFT substrate and the counter substrate are adhered to each other with a sealing resin 16 which is provided in the vicinity of the light shielding frame section 14. A UV curable sealing resin is often used as the sealing resin 16. In this case, UV light is radiated from the counter substrate side toward the TFT substrate side, or the TFTs are damaged and the characteristics thereof are deteriorated by the UV light in the step of adhering the substrates. Furthermore, in the case of using the UV curable sealing resin, the size of the light shielding frame section 14 is limited in order that the sealing resin 16 can be irradiated with UV light. However, smaller size of the light shielding frame section 14 necessitates higher alignment accuracy in assembling the light shielding frame section 14 with the module, which renders this step of adhering the substrates complicated.

In order to overcome the above-described problem, a technique is proposed, for example, in Japanese Laid-Open Publication No. 6-175157, in which a light shielding frame section is formed on a TFT substrate.

However, according to the above-described technique, although the light shielding frame section is

formed on the TFT substrate, color filters for displaying colors or light shielding layers (black matrix) need to be formed on a counter substrate. In this case, a sealing margin of the TFT substrate and the counter substrate is as small as about 3 μm , which necessitates use of a large scale sealing apparatus.

SUMMARY OF THE INVENTION

10 According to one aspect of the present invention, a liquid crystal display device is provided which includes: a first substrate; a second substrate; and a liquid crystal layer interposed between the first substrate and the second substrate. The first substrate includes a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and a plurality of color filters which are arranged so as to correspond to the plurality of the picture element electrodes on an area of the first substrate corresponding to a display region of the liquid crystal display device, and a light shielding frame layer around a periphery of the display region. Accordingly, there is no need for forming color filters and a light shielding frame layer on a second substrate.

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In one embodiment of the present invention, the first substrate further including light shielding layers on the switching elements, for shielding light incident to the switching elements. Accordingly, there is no need
5 for providing a light shielding layer on the second substrate.

In one embodiment of the present invention, the light shielding layers and the light shielding frame
10 layer are made of a same material. Accordingly, the light shielding layers and the light shielding frame layer are formed in one step.

In one embodiment of the present invention, the
15 light shielding layers and the light shielding frame layer are formed through an electrochemical reaction. Accordingly, the light shielding layers and the light shielding frame layer are easily formed.

20 In one embodiment of the present invention, the light shielding frame layer includes a plurality of colored layers of different colors. According to one embodiment of the present invention, the plurality of colored layers of different colors are made from material

used to form the plurality of color filters. Accordingly, the light shielding frame layer is formed of colored layers made of the same materials as the color filters, and thus the light shielding frame layer and the
5 color filters are simultaneously formed.

In one embodiment of the present invention, the first substrate further includes a driving circuit for driving the switching elements, and the light shielding
10 frame layer is formed over the driving circuit. Accordingly, light incident to the driving circuits is shielded by the light shielding frame layer.

According to another aspect of the present
15 invention, a liquid crystal display device is provided which includes: a first substrate; a second substrate; and a liquid crystal layer interposed between the first substrate and the second substrate. The first substrate includes a plurality of switching elements, a plurality
20 of picture element electrodes connected to the plurality of the switching elements and light shielding layers on the switching elements on an area of the first substrate corresponding to a display region of the liquid crystal device, and a light shielding frame layer around a

periphery of the display region.

In one embodiment of the present invention, the light shielding layers and the light shielding frame layer are made of a same material.

According to still another aspect of the present invention, a method for producing a liquid crystal display device is provided, the liquid crystal display device including a first substrate, a second substrate, and a liquid crystal layer interposed between the first substrate and the second substrate. The method includes the steps of forming a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and a plurality of color filters which are arranged so as to correspond to the plurality of the picture element electrodes on an area of the first substrate corresponding to a display region of the liquid crystal display device, and a light shielding frame layer on the first substrate around a periphery of the display region. Accordingly, there is no need for forming color filters and a light shielding frame layer on a second substrate.

In one embodiment of the present invention, the step of forming the color filters and the step of forming the light shielding frame layer are conducted as the same step. Accordingly, the color filters and the light shielding frame layer are formed in one step.

In one embodiment of the present invention, the method further includes a step of forming light shielding layers on the first substrate. Accordingly, there is no need for providing a light shielding layer on the second substrate.

In one embodiment of the present invention, the step of forming the light shielding layers and the step of forming the light shielding frame layer are conducted as the same step. Accordingly, the light shielding layers and the light shielding frame layer are formed in one step.

In one embodiment of the present invention, the step of forming the light shielding layer and the step of forming the light shielding frame layer employ an electrochemical reaction. Accordingly, the light shielding layers and the light shielding frame layer are easily

formed.

In one embodiment of the present invention, the step of forming the light shielding frame layer includes
5 a step of superimposing, at least partially, a plurality of colored layers of different colors.

According to still another aspect of the present invention, a method for producing a liquid crystal
10 display device is provided, the liquid crystal display device including a first substrate, a second substrate and a liquid crystal layer interposed between the first substrate and the second substrate. The method includes the steps of forming a plurality of switching elements,
15 a plurality of picture element electrodes connected to the plurality of the switching elements and light shielding layers on the switching elements on an area of the first substrate corresponding to a display region of the liquid crystal display device, and a light shielding
20 frame layer on the first substrate around a periphery of the display region.

In one embodiment of the present invention, the step of forming light shielding layers and the step of

forming the light shielding frame layer are conducted as the same step.

Thus, the invention described herein makes possible the advantages of providing: (1) a liquid crystal display device which includes a counter substrate that can be easily formed, and in which the counter substrate and a TFT substrate are easily adhered to each other; and (2) a method for producing the liquid crystal display device.

These and other advantages of the present invention will become apparent to those skilled in the art upon reading and understanding the following detailed description with reference to the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a plan view showing a TFT substrate of a liquid crystal display device according to first, second and third examples of the present invention;

Figure 2 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements and

a part of a light shielding frame section of the liquid crystal display device according to the first example of the present invention;

5 Figure 3 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements and a part of a light shielding frame section of the liquid crystal display device according to the second example of the present invention;

10 Figure 4 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements and a part of a light shielding frame section of the liquid crystal display device according to the third example of the present invention;

15 Figure 5 is a plan view showing a TFT substrate of a liquid crystal display device according to a fourth example of the present invention;

20 Figure 6 is a plan view of a TFT substrate of a conventional liquid crystal display device; and

Figure 7 is a plan view of a counter substrate of

a conventional liquid crystal display device.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

5 Hereinafter, the present invention will be described by way of illustrative examples with reference to the accompanying drawings. The same reference numerals designate the same components.

10 (Example 1)

Figure 1 is a plan view showing a TFT substrate of a liquid crystal display device according to first, second and third examples of the present invention.

15 Referring to Figure 1, a gate driver GD and a source driver SD are arranged on an insulative substrate 100 which is made of, for example, glass. The gate driver GD supplies scanning signals to picture elements 106 via gate bus lines 102. The source driver SD supplies data signals to the picture elements 106 via source bus lines 104. As shown in Figure 1, a light shielding frame section 110 is formed on the TFT substrate around a periphery of an image display region 108.

20

Figure 2 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements 106 and a part of the light shielding frame section 110 of the liquid crystal display device according to the first example of the present invention.

Hereinafter, a method for producing the liquid crystal display device according to the first example of the present invention will be described with reference to Figure 2.

First, active layers 112 made of amorphous silicon (a-Si) or polycrystal silicon (p-Si) are formed to a thickness of about 40 nm on the insulative substrate 100. Then, a gate insulating film 114 made of SiO_2 is formed to a thickness of about 100 nm on each active layer 112. Then, a gate electrode 116 made of Al or p-Si is formed to a thickness of about 300 nm on each gate insulating film 114. Subsequently, phosphorous ions are introduced from above the gate electrodes 116 toward the substrate 100 while using the gate electrodes 116 as masks. As a result, an undoped channel region 113 is formed in each active layer 112 beneath each gate electrode 116. Regions of each active layer 112 other than

the undoped channel region 113, i.e., regions doped with phosphorous ions, act as low resistance regions. Thereafter, a first interlayer insulating film 118 is formed. Then, after providing contact holes 119 as shown in Figure 2, source bus lines 104 made of Al are formed. Thereafter, a second interlayer insulating film 122 is formed. Then, after providing contact holes 124 as shown in Figure 2, picture element electrodes 126 made of a transparent conductive film are formed.

10

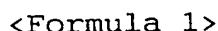
According to the first example of the present invention, RGB (Red, Green and Blue) color filters 128, 130 and 132 are formed by using the picture element electrodes 126. The RGB color filters 128, 130 and 132 are formed on the picture element electrodes 126 by a micell electrolytic method as follows. A micell electrolytic method is disclosed, for example, in Japanese Laid-Open Publication No. 6-281925.

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First, 1 to 2 g red pigment (Lithol Scarlet K3700: produced by BASF) is added and dispersed in a 2 mM aqueous solution of a compound represented by Formula 1 (FPEG: ferrocenyl polyethylene glycol). Then, 0.1 M lithium bromide (supporting electrolyte) is added and

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Thus, red, blue and green pigment dispersed solutions are individually prepared.

5 Thereafter, the above-described substrate is immersed into the red pigment dispersed solution, and subjected to a micell electrolytic treatment while, by using terminal electrodes of the source bus lines 104, ON signals are selectively applied to the gate bus lines 102 which are connected to picture element electrodes corresponding to red picture elements. The micell electrolytic treatment is performed for 30 minutes under the conditions of a voltage of about 0.5 V and a temperature of about 25°C, while using a saturated calomel electrode (SCE) as a reference electrode and an aluminum plate as
10 an anode. After the micell electrolytic treatment, the substrate is washed with pure water and baked at a temperature of 180°C for 1 hour, thereby forming the red color filters 128 on the corresponding picture element electrodes 126. Once the color filters formed by the
15 above-described micell electrolytic treatment are baked, the color filters lose their conductivity.
20

Similarly, the thus-obtained substrate is immersed into the green pigment dispersed solution so as to

form green color filters 130 on the corresponding picture element electrodes 126 by electrically selecting signals lines corresponding to green picture elements. Since the previously formed red color filters 128 no longer have conductivity by being baked, the green color filters 130 are not formed on the red color filters 128. Similarly, the blue color filters 132 are formed on the picture element electrodes corresponding to blue picture elements.

An average thickness of the red color filters 128 is about 0.5 μm , that of the green color filters 130 is about 0.4 μm and that of the blue color filters 132 is about 0.6 μm .

Although the color filters 128, 130 and 132 are formed by a micell electrolytic method according to the first example of the present invention, the present invention is not limited thereto. The color filters 128, 130 and 132 may be formed by any electrochemical method such as, for example, an electrodeposition method.

Thus, according to the first example of the present invention, the RGB color filters 128, 130 and 132

are formed on the TFT substrate by using picture element electrodes 126.

Then, light shielding layers 134 are formed of a
5 black resist for shielding light incident to the TFTs and
light leaking from gaps between each picture element
electrodes. According to the first example of the
present invention, simultaneously with the light shield-
ing layer 134, a black resist 136 is formed as part of
10 the light shielding frame section 110. Accordingly, the
light shielding layers 134 and the light shielding frame
section 110 can be formed in one step by using the same
material. Thus, an additional step for forming the light
shielding frame section 110 is not required.

15

According to the first example of the present
invention, the color filters, the light shielding layers
and the light shielding frame section are formed on the
TFT substrate. Therefore, a counter substrate can be
20 easily produced by simply forming counter electrodes and
an alignment film. Moreover, since the color filters are
formed on the TFT substrate, the TFT substrate and the
counter substrate can be adhered to each other without
requiring high accuracy, and thus a large scale apparatus

is not necessary, thereby simplifying the step of adhering the substrates.

(Example 2)

5 Figure 3 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements 106 and a part of the light shielding frame section 110 of a liquid crystal display device according to the second example of the present invention.

10

Hereinafter, a method for producing the liquid crystal display device according to the second example of the present invention will be described with reference to Figure 3.

15

Active layers 112 with undoped channel regions 113, gate insulating films 114, gate electrodes 116, a first interlayer insulating film 118, contact holes 119, source bus lines 104 and a second interlayer insulating film 122 are formed on an insulative substrate 100 in the same manner as in the first example.

20

Then, according to the second example of the

present invention, contact holes 124 and 125 are provided as shown in Figure 3. Then, picture element electrodes 126, light shielding layer electrodes 127 and a light shielding frame section electrode 140 are formed.

5 The light shielding layer electrodes 127 are used for forming light shielding layers 134 and the light shielding frame section electrode 140 is used for forming a light shielding frame section shielding layer 150 of a light shielding frame section 110.

10

Thereafter, a voltage is applied to all of the source bus lines 104 so as to form the light shielding layers 134 by an electrodeposition method by using the electrodes 127. In the case where a source bus line 104 has discontinuity, the light shielding layers 134 are not
15 formed on the light shielding layer electrodes 127 connected to the source bus line 104 having the discontinuity. Using this fact, effectiveness of the source bus lines 104 can be checked by checking the light shielding layers 134. Accordingly, the discontinuity can be re-
20 paired by forming a compensation line. According to the second example of the present invention, simultaneously with the light shielding layer 134, the light shielding frame section shielding layer 150 is formed on the light

shielding frame section electrode 140 by applying a voltage to the light shielding frame section electrode 140, thereby forming a light shielding frame section 110. Since the light shielding frame section 110 can be formed simultaneously with the light shielding layers 134, an extra step for forming the light shielding frame section 110 is not required.

Accordingly, the step of forming the light shielding layers 134 and the step of forming the light shielding frame section 110 can be simplified by employing electrochemical reaction.

Then, RGB color filters 128, 130 and 132 are sequentially formed by using the picture element electrodes 126 in the same manner as in the first example of the present invention.

According to the second example of the present invention, as in the first example of the present invention, the color filters, the light shielding layers and the light shielding frame section are formed on the TFT substrate. Therefore, a counter substrate can be easily produced by simply forming counter electrodes and an

alignment film. Moreover, since the color filters are formed on the TFT substrate, the TFT substrate and the counter substrate can be adhered to each other without requiring high accuracy, and thus a large scale apparatus is not necessary, thereby simplifying the step of adhering the substrates.

(Example 3)

Figure 4 is a cross-sectional view taken along line A-A of Figure 1, showing three picture elements 106 and a part of the light shielding frame section 110 of a liquid crystal display device according to the a third example of the present invention.

Hereinafter, a method for producing the liquid crystal display device according to the third example of the present invention will be described with reference to Figure 4.

Active layers 112 with undoped channel regions 113, gate insulating films 114, gate electrodes 116, a first interlayer insulating film 118, contact holes 119, source bus lines 104, a second interlayer insulating film 122 and contact holes 124 are

formed on an insulative substrate 100 in the same manner as in the first example.

According to the third example of the present invention, picture element electrodes 126 and a light shielding frame section electrode 140 for a light shielding frame section 110 are formed simultaneously.

Then, RGB color filters 128, 130 and 132 are sequentially formed by using the picture element electrodes 126 in the same manner as in the first example of the present invention. According to the third example of the present invention, while sequentially forming the above-described RGB color filters 128, 130 and 132, an R colored layer 142, a G colored layer 144 and a B colored layer 146 which are made of the same materials as the RGB color filters 128, 130 and 132 are sequentially superimposed on the light shielding frame section electrode 140 by applying a voltage to the light shielding frame section electrode 140 during each of the steps of forming the RGB color filters 128, 130 and 132.

The thus-superimposed RGB colored layers 142, 144 and 146 sequentially superimposed on the light shielding

frame section electrode 140 form a black layer, and act as a light shielding frame section 110.

5 Thereafter, light shielding layers 134 made of a black resist are formed, for shielding light incident to the TFTs and light leaking from gaps between each picture element electrodes.

10 According to the present invention, the color filters 128, 130 and 132 and the colored layers 142, 144 and 146 may be made of different materials and/or formed in different steps.

15 According to the third example of the present invention, as in the first example of the present invention, the color filters, the light shielding layers and the light shielding frame section are formed on the TFT substrate. Therefore, a counter substrate can be easily produced by simply forming counter electrodes and an alignment film. Moreover, since the color filters are
20 formed on the TFT substrate, the TFT substrate and the counter substrate can be adhered to each other without requiring high accuracy, and thus a large scale apparatus is not necessary, thereby simplifying the step of adher-

ing the substrates.

(Example 4)

Figure 5 is a plan view showing a TFT substrate
5 of a liquid crystal display device according to a fourth
example of the present invention.

Referring to Figure 5, a gate driver GD and a
source driver SD are arranged on an insulative sub-
10 strate 100 which is made of, for example, glass.
Furthermore, the insulative substrate 100 has an image
display region 108. The gate driver GD, the source
driver SD and the TFT switching elements are formed on
the same insulative substrate 100. The gate driver GD
15 supplies scanning signals to picture elements 106 via
gate bus lines 102. The source driver SD supplies data
signals to the picture elements 106 via source bus
lines 104.

20 According to the fourth example of the present
invention, a light shielding frame section 110 is formed
on the TFT substrate around a periphery of the image
display region 108 and such that it covers the gate
driver GD and the source driver SD as shown in Figure 5

(indicated as a hatched portion).

According to the fourth example of the present invention, the light shielding frame section 110 is formed over the active elements and the driving circuits for driving the active elements, which are formed on the same substrate 100. Since the light shielding frame section 110 shields light incident to the driving circuits, the driving circuits are not affected by the incident light which induces the driving circuits to perform an error operation.

Furthermore, in the case where the light shielding frame section 110 is formed by an electrochemical reaction method by using the light shielding frame section electrode, as in the second and third examples, the driving circuits are also shielded by the light shielding frame section electrode, thereby preventing the driving circuits from generating unnecessary electric field.

According to another example of the present invention, light shielding layers and a light shielding frame layer may be formed on a TFT substrate while color

filters are formed on a counter substrate. In this case, the color filters may be formed, for example, by dyeing, printing, or a color resist method. According to the color resist method, a color photosensitive resin is applied, exposed and developed, repeatedly. Furthermore, a lamination method is also known as a method for forming the color filters. According to the lamination method, color layers are formed by applying a colored photosensitive resin solution on a supporting body. Then, the thus-formed color layers are sequentially directly transferred on a transparent substrate, exposed and developed. The light shielding layers may be formed as described in the second and third example of the present invention.

15

According to this example, the light shielding layers and the light shielding frame section are formed on the TFT substrate. Therefore, a counter substrate can be easily produced by simply forming counter electrodes, color filters and an alignment film.

20

Herein, TFTs have been used as an example of switching elements, although the present invention is not limited thereto. The switching elements may be, for

example, another type of device such as varistors and MIM elements.

According to an liquid crystal display device of the present invention, a TFT substrate includes a plurality of color filters on an area of the TFT substrate corresponding to a display region of the liquid crystal display device, and a light shielding frame layer around a periphery of the display region. Accordingly, there is no need for providing the color filters and the light shielding frame section on a counter substrate, and thus the counter substrate is easily produced. In addition, since the color filters are formed on the TFT substrate, the TFT substrate and the counter substrate are adhered to each other without requiring high accuracy, and thus a large scale apparatus is not necessary.

According to an liquid crystal display device of the present invention, the TFT substrate may further include light shielding layers formed on the switching elements for shielding light incident to the switching elements. In this case, there is no need for providing light shielding layers on the counter substrate. Thus, the step of forming the counter substrate is simple. On

the other hand, in the case where the light shielding layers are formed on the counter substrate, the sizes of the light shielding layers need to be larger since a sealing margin of the TFT substrate and the counter substrate which is as small as, for example, about 3 μm , needs to be taken in account. Thus, an aperture ratio is decreased.

According to an liquid crystal display device of the present invention, the light shielding layers and the light shielding frame layer may be made of a same material. In this case, the light shielding layers and the light shielding frame section are formed in one step and thus the number of production steps is reduced.

According to an liquid crystal display device of the present invention, the light shielding layers and the light shielding frame layer may be formed through an electrochemical reaction. In this case, the light shielding layers are easily formed and effectiveness of TFTs in the image display region can be checked by visually checking the light shielding layers.

According to an liquid crystal display device of

the present invention, the light shielding frame layer may be made from colored layers. In this case, the colored layers may be made of the same material as the color filters and may be formed simultaneously with the color filters. Thus, an additional step of forming the light shielding frame section is not required.

According to an liquid crystal display device of the present invention, the TFT substrate may further include a driving circuit for driving the switching elements, and the light shielding frame layer may be formed over the driving circuit. In this case, since light incident to the driving circuits is shielded by the light shielding frame section, the driving circuits are not affected by the incident light which induces the driving circuits to perform an error operation. Furthermore, the light shielding frame section may be formed by an electrochemical reaction method. In this case, the driving circuits are also shielded by the light shielding frame section electrode, thereby preventing the driving circuits from generating unnecessary electric field.

A method for producing a liquid crystal display

device of the present invention includes a step of forming a plurality of color filters on an area of the TFT substrate corresponding to a display region of the liquid crystal display device, and a step of forming a light shielding frame layer on the TFT substrate around a periphery of the display region. Accordingly, there is no need for providing the color filters and the light shielding frame section on a counter substrate, and thus the counter substrate is easily produced. In addition, since the color filters are formed on the TFT substrate, the TFT substrate and the counter substrate are adhered to each other without requiring high accuracy, and thus a large scale apparatus is not necessary.

According to a method of producing a liquid crystal display device of the present invention, the step of forming the color filters and the step of forming the light shielding frame layer may be conducted as the same step. In this case, the light shielding frame section is formed simultaneously with the RGB color filters, and thus, an additional step of forming the light shielding frame section is not required.

According to a method of producing a liquid

crystal display device of the present invention, the step of forming the light shielding layers and the step of forming the light shielding frame layer may be conducted as the same step. In this case, the light shielding
5 layers and the light shielding frame section are formed in one step and thus the number of production steps is reduced.

According to a method of producing a liquid
10 crystal display device of the present invention, the step of forming the light shielding layer may employ an electrochemical reaction. In this case, the light shielding layers are easily formed and effectiveness of TFTs in the image display region can be checked by
15 visually checking the light shielding layers.

Various other modifications will be apparent to and can be readily made by those skilled in the art without departing from the scope and spirit of this
20 invention. Accordingly, it is not intended that the scope of the claims appended hereto be limited to the description as set forth herein, but rather that the claims be broadly construed.

WHAT IS CLAIMED IS:

1. A liquid crystal display device, comprising:
 - a first substrate;
 - a second substrate; and
 - a liquid crystal layer interposed between the first substrate and the second substrate, wherein
 - the first substrate includes a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and a plurality of color filters which are arranged so as to correspond to the plurality of the picture element electrodes on an area of the first substrate corresponding to a display region of the liquid crystal display device, and a light shielding frame layer around a periphery of the display region.
2. A liquid crystal display device according to claim 1, the first substrate further including light shielding layers on the switching elements, for shielding light incident to the switching elements.
3. A liquid crystal display device according to claim 2, wherein the light shielding layers and the light shield-

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ing frame layer are made of a same material.

4. A liquid crystal display device according to claim 2, wherein the light shielding layers and the light shielding frame layer are formed through an electrochemical reaction.

5. A liquid crystal display device according to claim 1, wherein the light shielding frame layer includes a plurality of colored layers of different colors.

6. A liquid crystal display device according to claim 1, wherein the plurality of colored layers of different colors are made from material used to form the plurality of color filters.

7. A liquid crystal display device according to claim 1, wherein the first substrate further comprises a driving circuit for driving the switching elements, and the light shielding frame layer is formed over the driving circuit.

8. A liquid crystal display device, comprising:
a first substrate;
a second substrate; and

a liquid crystal layer interposed between the first substrate and the second substrate, wherein

the first substrate includes a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and light shielding layers on the switching elements on an area of the first substrate corresponding to a display region of the liquid crystal device, and a light shielding frame layer around a periphery of the display region.

9. A liquid crystal display device according to claim 8, wherein the light shielding layers and the light shielding frame layer are made of a same material.

10. A method for producing a liquid crystal display device including a first substrate, a second substrate and a liquid crystal layer interposed between the first substrate and the second substrate, the method comprising the steps of forming a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and a plurality of color filters which are arranged so as to correspond to the plurality of the picture element electrodes on an area of the first substrate corresponding to a display

region of the liquid crystal display device, and a light shielding frame layer on the first substrate around a periphery of the display region.

11. A method for producing a liquid crystal display device according to claim 10, wherein the step of forming the color filters and the step of forming the light shielding frame layer are conducted as the same step.

12. A method for producing a liquid crystal display device according to claim 10, wherein the method further comprises a step of forming light shielding layers on the first substrate.

13. A method for producing a liquid crystal display device according to claim 12, wherein the step of forming the light shielding layers and the step of forming the light shielding frame layer are conducted as the same step.

14. A method for producing a liquid crystal display device according to claim 12, wherein the step of forming the light shielding layer and the step of forming the light shielding frame layer employ an electrochemical

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[illegible][illegible][illegible]

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shielding frame layer are conducted as the same step.

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ABSTRACT OF THE DISCLOSURE

A liquid crystal display device is provided which includes: a first substrate; a second substrate; and a liquid crystal layer interposed between the first substrate and the second substrate. The first substrate includes a plurality of switching elements, a plurality of picture element electrodes connected to the plurality of the switching elements and a plurality of color filters which are arranged so as to correspond to the plurality of the picture element electrodes on an area of the first substrate corresponding to a display region of the liquid crystal display device, and a light shielding frame layer around a periphery of the display region.

FIG. 1

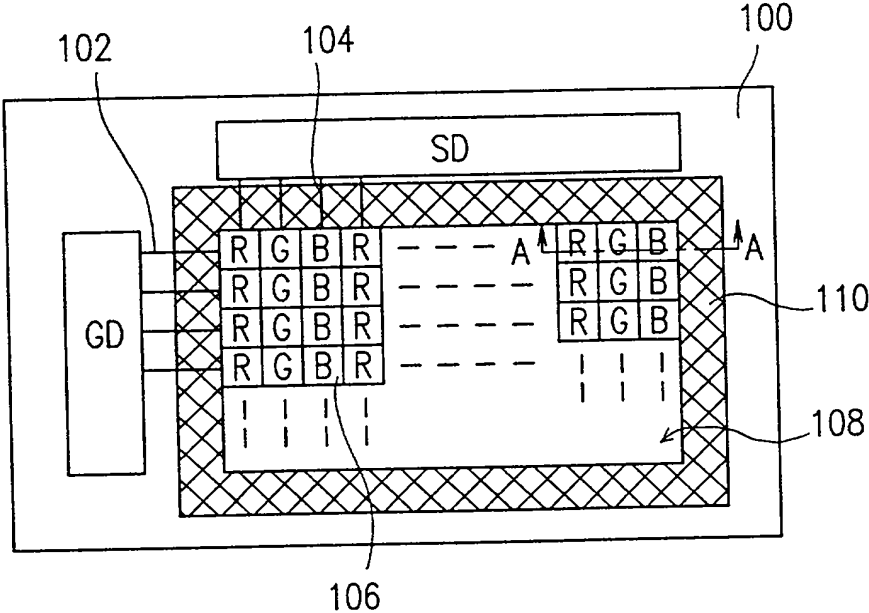
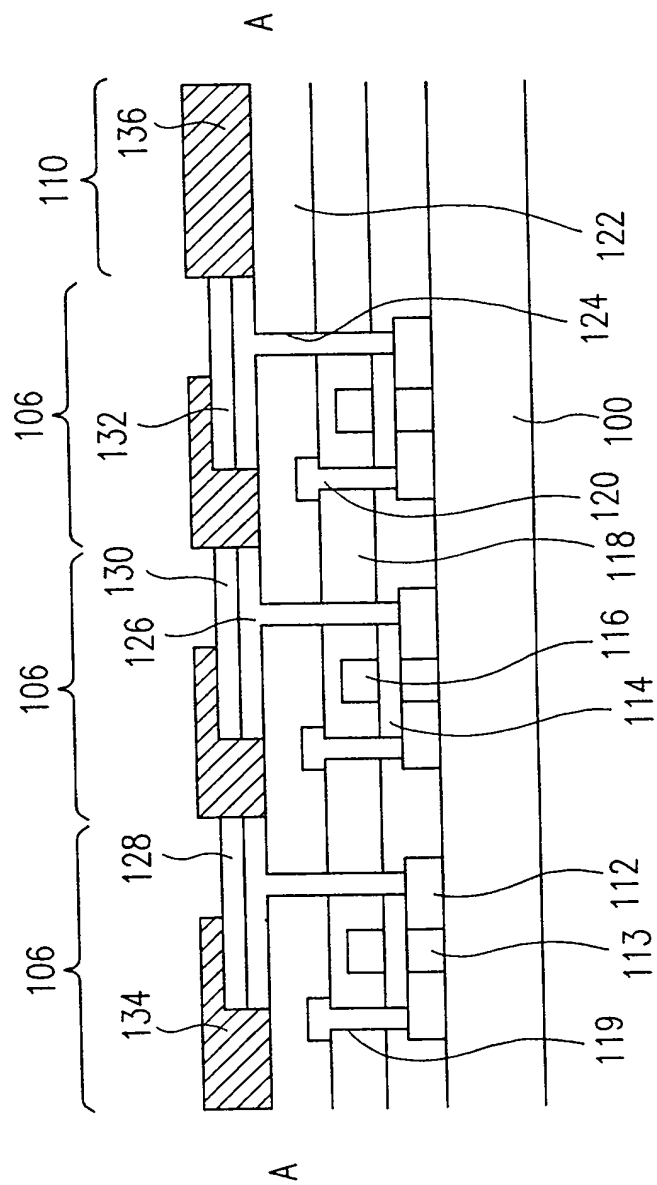
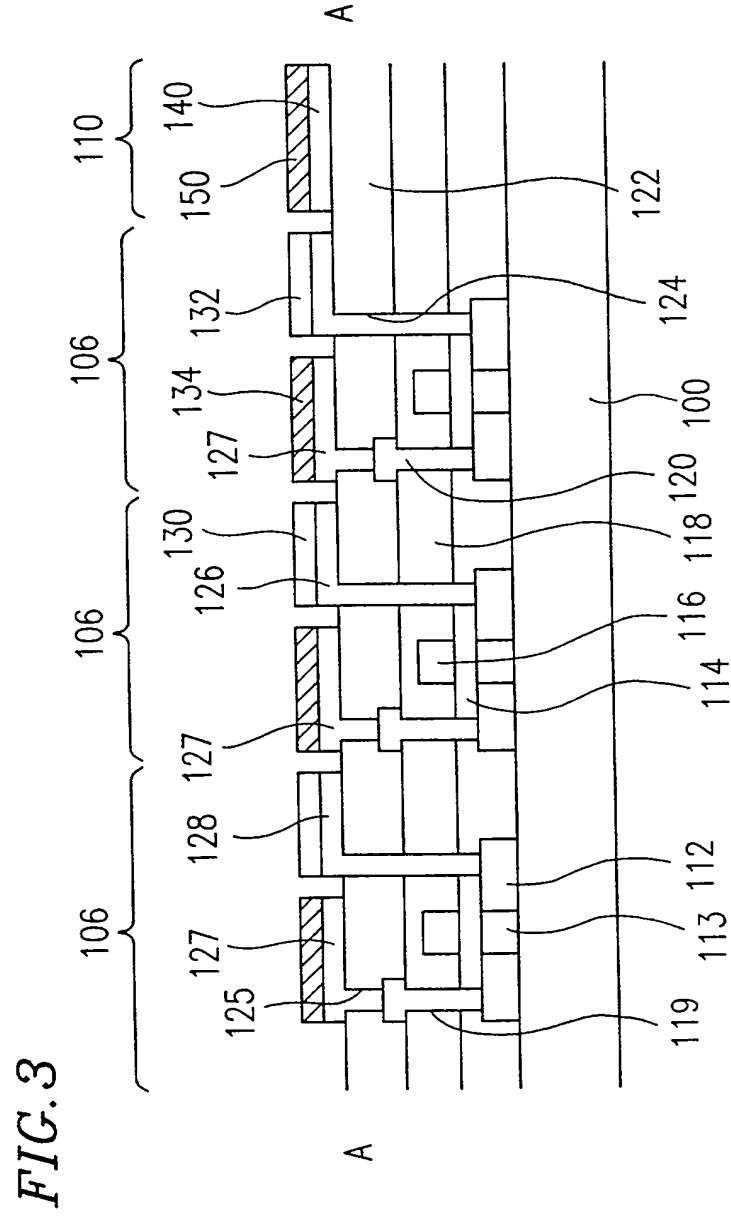


FIG. 2





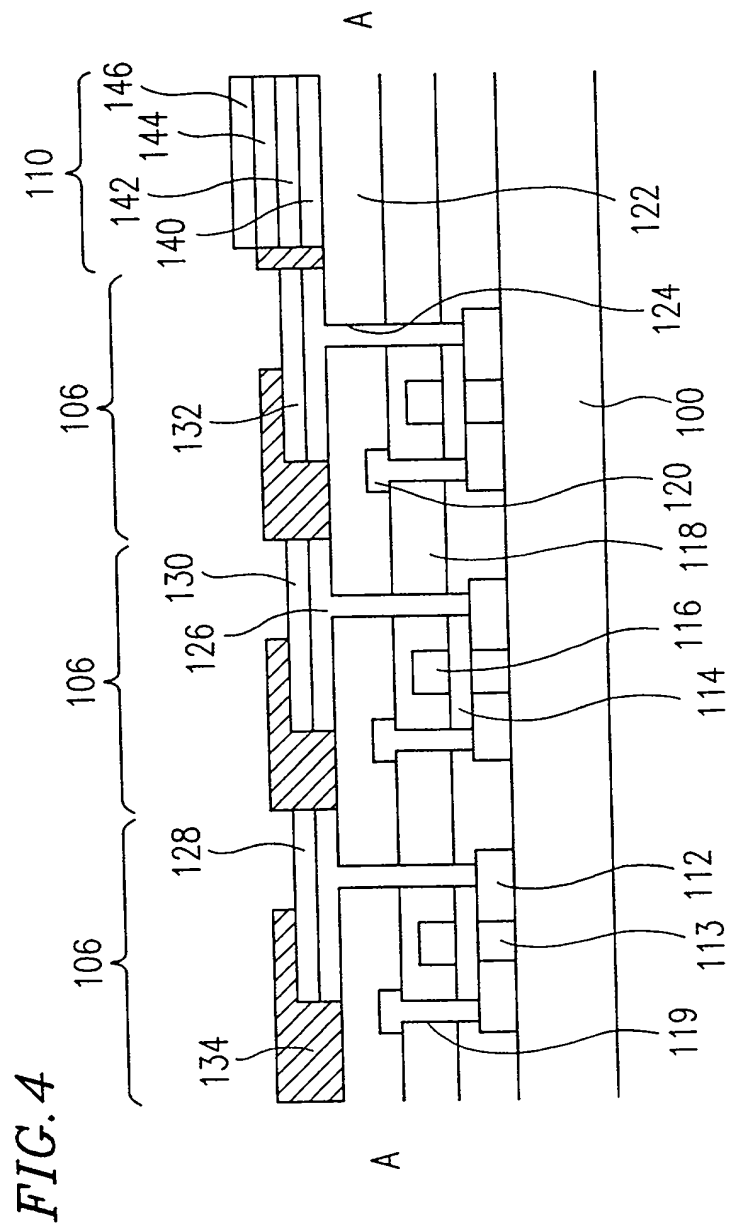


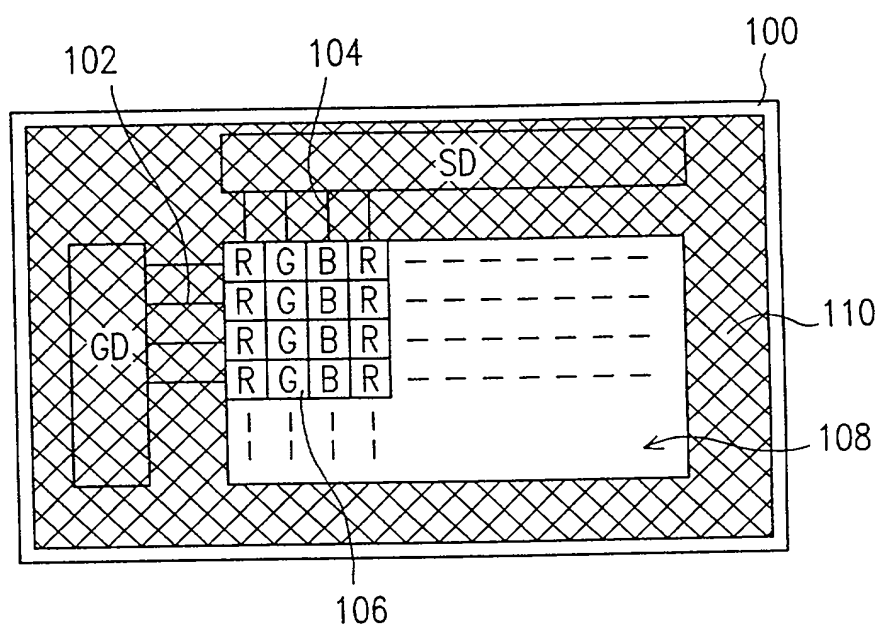
FIG. 5

FIG. 6

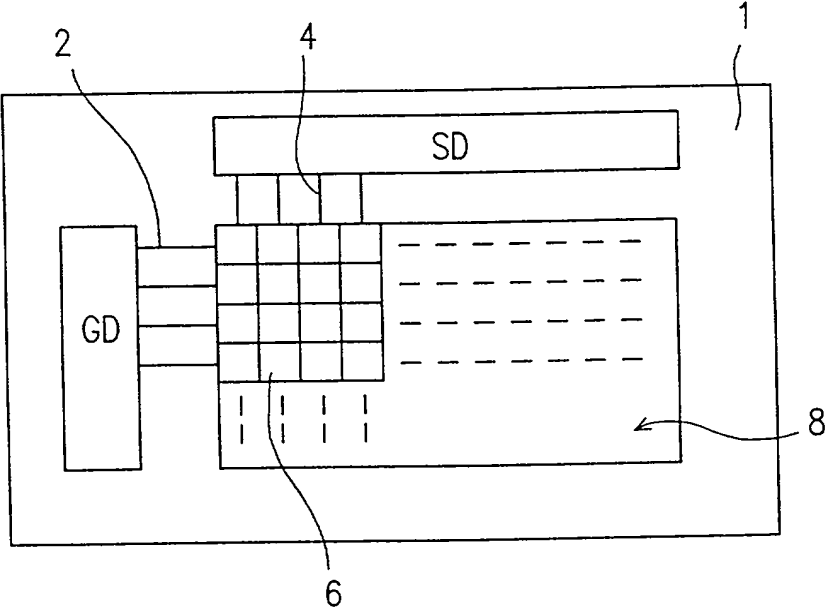
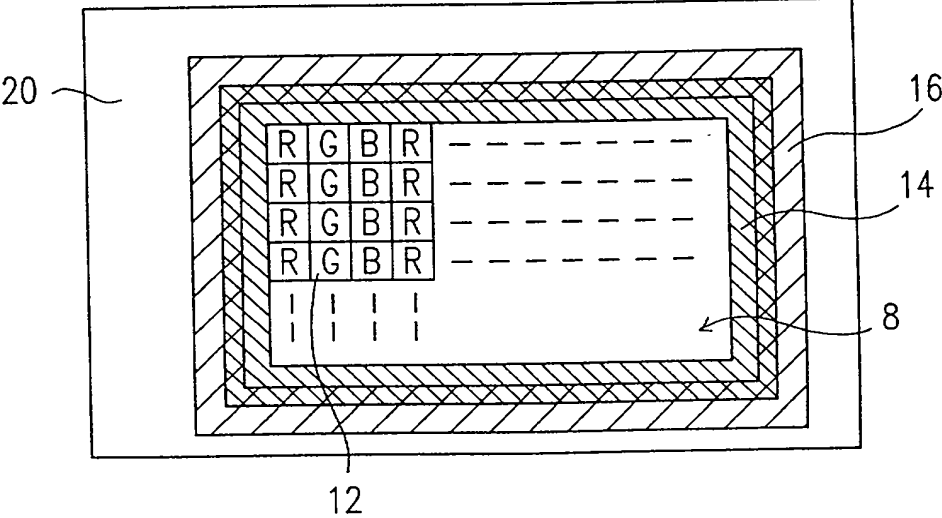


FIG. 7



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Attorney's Docket No. _____

Page 1 of 4

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-208 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LIQUID CRYSTAL DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME

which is described and claimed in:

- ☒ the specification attached hereto.
- ☐ the specification in U.S. Application Serial Number _____, filed on _____.
- ☐ the specification in PCT international application Number _____,
filed on _____; and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

[illegible]

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Applications or PCT International Applications Designating the U.S-Benefit Under 35 U.S.C. §120					
U.S. Applications			Status (Check One)		
Application Serial No.	U.S. Filing Date		Patented	Pending	Abandoned
PCT Applications Designating the U.S.					
Application No.	Filing Date	U.S. Serial No. Assigned			

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Applicant	Provisional Application Number	Filing Date

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) with full powers of association, substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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David G. Conlin (Reg. No. 27,026)
George W. Neuner (Reg. No. 26,964)
Ernest V. Linek (Reg. No. 29,822)

Linda M. Buckley (Reg. No. 31,003)
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--	---

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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
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		Tenri-shi	Nara-ken Japan	Japan
		Raport Tenri 746, 2613-1, Ichinomoto-cho,	Tenri-shi	Nara-ken Japan 632-0004

2 0 3	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

2 0 6	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

2 0 7	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

2 0 8	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature of Inventor 201 <i>Yasuhiko Matsushima</i>	Signature of Inventor 202 <i>Takashi Sato</i>
Date: March 10, 1998	Date: March 10, 1998

ASSIGNMENT

WHEREAS, The ASSIGNOR, comprising the following named inventor(s):

1. Yasuhiro MATSUSHIMA; 126-78, Toyoda-cho,
Kashihara-shi, Nara-ken Japan
2. Takashi SATO; Raport Tenri 746, 2613-1,
Ichinomoto-cho, Tenri-shi,
Nara-ken Japan

has invented and owns a certain invention entitled:

LIQUID CRYSTAL DISPLAY DEVICE AND
METHOD FOR PRODUCING THE SAME

for which application for Letters Patent of the United States was executed on even date herewith,
and

WHEREAS, the ASSIGNEE:

SHARP KABUSHIKI KAISHA
22-22, Nagaike-cho, Abeno-ku, Osaka 545-8522 Japan

is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum corresponding to One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment sale agreement charge or other encumbrance has been or will be entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, the parties have hereunto set their hands and seal

this 10th day of March, 1998.

1. Yasuhiro Matsushima
(Yasuhiro MATSUSHIMA)

2. Takashi Sato
(Takashi SATO)

FD-101 O-1595

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

(Rev. 6-93)

Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

1. Name of Conveying Party(ies):

Yasuhiro Matsushima and Takashi Sato

Additional name(s) of conveying party(ies) attached? **No**

2. Name and address of receiving party(ies)

Name: Sharp Kabushiki KaishaStreet Address: 22-22, Nagaike-cho, Abeno-kuOsaka 545, JAPAN

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? **No**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: March 10, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 10, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? **No**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Conlin, Esq.

Internal Address: _____

Street Address: Dike, Bronstein, Roberts, etal., LLP130 Water StreetCity: Boston, State: MA ZIP: 021096. No. of applications and/or patents involved: 17. Total fee (37 CFR 3.41)..... \$ 40.00

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David S. Resnick, Esq. (34,235)

Name and Registration Number of Person Signing

Signature

Date

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